

Tristan Jones

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SUMMARY

IT Audit professional with 15+ years of experience in ITGC testing, control assessments, and risk advisory across financial services. Proven ability to lead walkthroughs with business stakeholders, manage end-to-end assessment efforts, and coach first-line teams on audit readiness. Skilled in identifying control gaps, driving remediation plans, and coordinating cross-functional teams to meet regulatory milestones. Recent focus on CRI Profile risk coverage assessments and AI governance frameworks.

EXPERIENCE

IT Risk Consultant

May 2026 – Present

Russell & Associates — City National Bank | Remote (Contract)

- Lead analysis activities supporting enterprise technology risk assessments and regulatory remediation programs, including CRI Profile gap analysis and risk coverage assessments across multiple diagnostic statements.
- Developed a structured two-step methodology for mapping LAM risks to CRI Response Guidance, improving assessment consistency and enabling handoff to bank teams.
- Partner with risk, cybersecurity, and technology teams to identify control gaps, evaluate risk exposure against NIST and CRI frameworks, and support remediation planning.

Independent Consultant & Professional Development

Nov 2024 – Apr 2026

Self-Employed | North Carolina

- Earned ISACA AI Auditing (AAIA) certification, specializing in AI risk assessment, model governance, and emerging AI regulatory frameworks.
- Completed AWS Certified AI Practitioner certification, expanding cloud and AI governance capabilities.
- Researched AI governance methodologies including NIST AI RMF, CRI Profile alignment, and AI controls assessment frameworks.
- Provided independent technology risk advisory services for select engagements.

Control Test Analyst

May 2022 – Oct 2024

MUFG | Remote — North Carolina

- Led design and operating-effectiveness testing for 15–20 ITGC controls per quarter, conducting stakeholder walkthroughs with business process owners to validate control design and identify deficiencies requiring remediation.
- Managed assessment scheduling and milestone tracking across multiple concurrent engagements, coordinating with control owners, risk teams, and QA reviewers to ensure timely completion.
- Coached first-line control owners on documentation standards and evidence requirements, reducing QA review-cycle time by 30% and improving evidence consistency across engagements.
- Designed an automated Microsoft Lists + Power Automate workflow to gather 20–60 artifacts per control, eliminating manual tracking and reducing verification effort by ~10%.

Archer Control Tester

Apr 2020 – Apr 2021

Truist Financial Corporation | Remote — North Carolina

- Led walkthroughs with IT and business stakeholders to assess change-control, logical-access, and SDLC frameworks, documenting control gaps and collaborating with teams on action plans.
- Automated logical-access recertification and enhanced GRC workflows in Archer by building ACL-driven analytics and standardized test plans.

- Performed system backup log analytics and configuration-data review, presenting findings to stakeholders and recommending remediation actions.

Senior IT Auditor

May 2019 – Nov 2019

The Depository Trust & Clearing Corporation (DTCC) | Newark, NJ

- Performed deep-dive assessments of firewalls, routers, proxies, and Unix systems using CIS Benchmarks; led walkthrough meetings with engineering teams to drive remediation of misconfigurations.
- Managed end-to-end audit execution for infrastructure assessments, independently planning scope, coordinating stakeholder interviews, and tracking issues through resolution.
- Performed logical-access reviews across Active Directory, AWS, and database environments, collaborating with IAM teams on gap remediation.

Blockchain Consultant

May 2017 – May 2019

Private | New York, NY

- Evaluated smart-contract security and key-management approaches for early-stage Ethereum deployments, identifying design flaws and recommending architecture changes.
- Designed secure decentralized-application (dApp) architectures and testing frameworks for blockchain deployments.

Cybersecurity Audit Manager

Dec 2014 – Sep 2017

S&P Global | New York, NY

- Led AWS security audits and coordinated global teams to evaluate configurations against AWS Security Best Practices, managing assessment timelines across multiple business units.
- Conducted walkthrough meetings with application owners and infrastructure teams to assess control design, identify cloud-control gaps, and develop remediation plans.
- Automated compliance-testing workflows and built Tableau dashboards visualizing security-control health for senior management reporting.

Audit Automation Consultant

Oct 2012 – Oct 2014

Citibank | New York, NY

- Developed automated analytics to detect orphaned accounts and segregation-of-duties conflicts across 50+ applications, enabling earlier detection of identity-related gaps.
- Enhanced SOX control automation, reducing testing time by 40% and improving identity-governance visibility.

EDUCATION

B.S. in Management Information Systems — Brigham Young University

CERTIFICATIONS

ISACA AI Auditing (AAIA)

ISACA #420788 • 05/2026 – 05/2029

AWS Certified AI Practitioner

AWS #521927105 • 11/2025 – 11/2028

Certified Information Systems Auditor (CISA)

ISACA #252955101 • 12/2025 – 12/2028

Certified Blockchain Solutions Architect

BTA #8491 • 01/2019 – 01/2022

SKILLS

IT General Controls (ITGC) • CRI Profile Assessment • AI Governance & Risk (NIST AI RMF) • Stakeholder Management & Advisory • Walkthrough Facilitation • Assessment Planning • Cloud Security (AWS) • Compliance (ISO 27001, CIS, SOX) • Risk & Issue Management • Data Analytics & SQL • SIEM (Splunk, QRadar) • Identity and Access Management • SDLC (Agile/Waterfall)